



# Credit Union Internal Auditors Association Northern Chapter Regional Training Session

**Friday February 17, 2012**  
**Morning Session**

## ***New Regulations On IT Security and Emerging Technologies***

Presented by

David Dyk, CISSP, CISA, CCSE, PMP, QCS, PCI QSA

Manager, Moss Adams Advisory Services – Portland Office

Blair Bautista, CISA, CFE, Director, Moss Adams Advisory Services – San Francisco

Bob Grill, CISSP, CISA, CGEIT, CSSLP, Manager, Moss Adams Advisory Services –  
San Francisco Office

*New technology risks have been introduced as a result of increased demand for services provided to credit union members. There has been a reported increase in fraudulent activities that has caused a shift in the risk in the regulatory landscape. With the introduction of new technology comes increased risks and the regulators have taken notice. We will cover some of the new emerging technologies (e.g. Internet Banking, Social Media, Mobile Banking Trends) that have been affecting credit unions and their related risks.*

## ***Addressing the Risk of Fraud Within Your Credit Union***

Presented by

Ryan J. Hauber, MBA, CFE, CCA, CCP

*In an interactive group setting, attendees will enhance their knowledge on a wide variety of fraud schemes in various industries within the areas of Financial Statement Fraud, Corruption/Bribery, and Misappropriation of Assets*

## **Afternoon Session**

### ***Enterprise Risk Management***

Presented by

Andy Vanderhoff, CEO, Quantivate

*Don't miss the discussion of this timely topic on the minds of most Credit Unions' Risk Managers at all levels of the organization.*

**CUIAA & ACUIA Members  
FREE**

Guests: \$25.00

(Please RSVP to attend)

Space is limited.

Guests: visit

<http://www.cuiaa.org/Regional.htm>

To pre-pay by credit card or debit card  
please use PayPal.



We will accept checks at the door the day of the event; please make checks payable to CUIAA. No cash please.

Email Andrea Brooks at:  
[abrooks@oefcu.org](mailto:abrooks@oefcu.org) with any reservation questions.

**Check-in begins at 8:30am**

**Attire – Business Casual**

**Watch for future  
training dates in  
May, August and  
November!**

**Credit Union Internal  
Auditor's Association**

**—Helping Credit Unions  
Succeed—**

**Northern CA Training  
Operating Engineers FCU  
250 N. Canyons Parkway, Livermore, CA  
8:30AM to 3:35PM**

Members and Guests must RSVP for the Northern California training by emailing Andrea Brooks at: [abrooks@oefcu.org](mailto:abrooks@oefcu.org). **Check-in begins at 8:30am. Morning refreshments will be provided.**

**Refund and Complaint Resolution Policy:** For more information regarding administrative policies related to complaints and refunds, please contact our Regional Training Meeting Director, Sonia Donald at: [sdonald@pmcu.com](mailto:sdonald@pmcu.com). All cancellation notices must be received at least 24 hours prior to the start of the Regional Training Meeting. **NO REFUNDS WILL BE GRANTED.** Guests may attend a future Regional Training Meeting within one year with the approval of the Regional Training Meeting Director.



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Blair is a Director with Moss Adams Advisory Services in the San Francisco Office. He has over 20 years of local, national, and international experience in the IT disciplines of Information Systems. Prior to joining Moss Adams, Blair has been employed by Wells Fargo, Union Bank, and Bank of Hawaii. During Blair's tenure in banking, he performed reviews of key banking applications. Blair has also previously worked for Deloitte & Touche and Ernst & Young and was responsible for leading numerous banking engagements ranging from regulatory reviews, internal investigations of employee fraud to loan documentation processing (origination to servicing).



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David Dyk is a Manager with Moss Adams Advisory Services in the Portland Office. He has over 10 years of experience in the areas of Information Security Management Consulting, Information Technology, and Internal Audit. David specializes in working with financial institutions and related service providers. The types of engagements that David leads include IT security assessments, risk assessment projects, IT governance, policies and procedures development, project management for IT security control implementations, and internal audits. Prior to serving with Moss Adams, David served as the IT Audit supervisor for a regional financial institution with over \$10 Billion in assets, and as an IT security consultant with IBM.



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Bob Grill is a Manager with Moss Adams Advisory Services in the Sacramento Office. He has over eighteen years of experience in the areas of Internal Audit, Information Security, Management Consulting, and IT Governance. Prior to consulting, Bob served as the Information Security Officer for the HP California Medicaid Outsourcing contract and was a supervisor of IT Audits for a money center bank. Bob has spoken at many information security related conferences, and is an active participant in IT audit and security associations. Bob holds a number of current certifications, including the Certified Information Systems Security Professional (CISSP), Certified Information Systems Auditor (CISA), Certified in the Governance of Enterprise IT (CGEIT), Certified Secure Software Lifecycle Professional (CSSLP) and holds SANS GIAC certifications.



**Ryan J. Hauber, MBA, CFE, CCA, CCP**  
**Partner**

**Honkamp Krueger & Co, P.C.**

<http://www.honkamp.com/888-556-0123>

Results-oriented internal audit executive and anti-fraud specialist with 10+ years of global experience assessing, advising, developing and managing internal audit groups, fraud prevention and compliance programs. Proven track record of developing and mentoring special investigation/anti-fraud units for a wide variety of organizations. A licensed CFE in all 50 states, Ryan is a speaker on fraud, forensic accounting and industry hot topics throughout the United States. Ryan started his career in professional services working in progressive roles for a Top 5 public accounting firm along with several years of internal audit management experience with a nationwide multi-billion dollar retailer. B.S. in accounting and M.B.A. from the University of Wisconsin School System of Colleges

<http://www.honkamp.com/About-Us/Our-People/Bio---Ryan-Hauber.aspx>

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